

SWINE TRANSPORTATION AUDIT FORM

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Auditor Name:	Date:
Auditing Company:	Number of Trucks Audited:
Plant Location:	Temperature/Weather Conditions:
Plant Contact:	

	Points Earned	Points Available
Criterion 1: Commitment to Animal Welfare - Plant policies and training		
Plant has a written animal welfare policy for transporters.		5
Arrival management process minimizes waiting time at the plant.		5
Emergency plans in place for animals in transit.		5
Written policy for non-ambulatory and fatigued animals and tools available for handling.		5
Documented employee training for livestock receiving.		5
Plant has a method for communicating back to the site of trailer loading.		5
Truck driver or plant employee who unloaded the truck followed plant animal handling policies.		5
<i>Note if plant employee or truck driver:</i>		<i>n/a</i>
Electric prod use policy is communicated to truck drivers.		5
Total for Criterion 1:		40

	Points Earned	Points Available
Criterion 2: Facility and Handling Observations		
Plant provides extreme temperature management tools.		10
Acceptable handling tools available and utilized as needed.		10
Acceptable euthanasia tools available.		10
Maintenance records kept for euthanasia equipment, euthanasia equipment stored properly, and employees trained for euthanasia.		10
Gates in unloading area swing freely, latch securely, and have no sharp protrusions.		10
Plant has non-slip flooring.		10
Unloading area and ramps in good repair.		10
There is adequate lighting.		10
Staff is properly trained and available for receiving animals.		10
Total for Criterion 2:		90

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Trailer Notes - <i>not scored</i>	Trailer 1	Trailer 2	Trailer 3	Trailer 4	Trailer 5
Trailer type (straight, drop center/pot belly, farm, other)					
Swine type (market hogs, cull sows, mature boars)					
Has the driver completed a transporter certification program?					

Key Welfare Indicator						Points Available
Criterion 3: Set-up and Loading of Trailer	Trailer 1	Trailer 2	Trailer 3	Trailer 4	Trailer 5	
Compartments are gated.						5
Trailer is loaded at the proper density.						5
Incompatible animals are segregated when required.						10
Trailer is properly aligned with the unloading area to prevent animals from escaping or becoming injured.						10
<i>Individual Trailer Scores:</i>						30
Total for Criterion 3:						
(average of trailer scores = sum of individual trailer score/# of trailers sampled)						30

Excellent - 30

Acceptable - 20 if ≤ 2 trailers sampled, 25 if ≥ 3 trailers sampled

Not Acceptable - < 20 if ≤ 2 trailers sampled, < 25 if ≥ 3 trailers sampled

Key Welfare Indicator						Points Available
Criterion 4: Wait Time to Unload	Trailer 1	Trailer 2	Trailer 3	Trailer 4	Trailer 5	
Time truck arrives at the plant						n/a
Time first animal unloads						n/a
Total time to begin unloading (in minutes, i.e., for 1 hour and 12 minutes, enter 72)						n/a
Reason (if applicable for unloading times >120 min)						n/a
<i>Optional note: Total unload time (not scored)</i>						n/a
<i>Individual Trailer Scores: Within 60 = 40 points 61 to 90 min = 30 points 91 to 120 min = 20 points > 120 min with reason = 10 points > 120 min without reason = 0 points</i>						40
Total for Criterion 4:						
(average of trailer scores = sum of individual trailer score/# of trailers sampled)						40

Excellent - 40

Acceptable - 30 if ≤ 2 trailers sampled, 35 if ≥ 3 trailers sampled

Not Acceptable - < 30 if ≤ 2 trailers sampled, < 35 if ≥ 3 trailers sampled

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Key Welfare Indicator	Result
Criterion 5: Falls	
Number of animals that fell	
Number of animals audited	
Percentage of falls	
Total for Criterion 5:	

100 or more animals audited
 small plant adjustment: less than 100 animals audited

Points Available			
40	30	20	0
0%	0.1-1.0%	1.1-3.0%	>3.0%
0 animals	1 animal	2 animals	≥3 animals
Excellent	Acceptable	Intermediate	Not Acceptable

Critical Welfare Indicator	Result
Criterion 6: Electric Prod Use	
Number of animals electric prod used on	
Number of animals audited	
Percentage of animals electric prodded	
Total for Criterion 6:	

Points Available		
50	40	0
0%	0.1-10.0%	>10.0%
Excellent	Acceptable	Not Acceptable

Key Welfare Indicator	Result
Criterion 7: Condition of Animal	
Non-ambulatory	
Severely injured	
Severely lame	
Delivered/delivering	
Severe weather impact	
Total number of compromised animals	
Number of animals audited	
Percentage of compromised animals	
Points earned:	

100 or more animals audited
 small plant adjustment: less than 100 animals audited

Points Available		
20	15	0
≤1.0%	1.1-3.0%	>3.0%
0 animals	1 animal	≥2 animals
Excellent	Acceptable	Not Acceptable

Severely emaciated	
Number of animals audited	
Percentage of animals with severe emaciation	
Points earned:	
Total for Criterion 7: (sum of points earned)	

100 or more animals audited
 small plant adjustment: less than 100 animals audited

Points Available		
20	15	0
≤3.0%	3.1-6.0%	>6.0%
≤ 1 animal	2-3 animal	≥4 animals
Excellent	Acceptable	Not Acceptable

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Critical Welfare Indicator Criterion 8: Euthanasia	Points Earned
Were animals observed during the audit that required euthanasia promptly euthanized according to plant policy?	

Note: if euthanasia is not observed, adjust the total number of points available for the audit accordingly.

<i>Points Available</i>		
50	0	0/0
Yes	No	NA
Acceptable	Not Acceptable	Not Applicable

Critical Welfare Indicator Criterion 9: Willful Acts of Abuse/Egregious Acts	
	Result
Were any willful acts of abuse or egregious acts observed?	

not scored

FINAL SCORING	Points Earned	Points Available	Pass or Fail
Criterion 1		40	n/a
Criterion 2		90	n/a
Criterion 3 - KWI		30	
Criterion 4 - KWI		40	
Criterion 5 - KWI		40	
Criterion 6 - CWI		50	
Criterion 7 - KWI		40	
Criterion 8 - CWI		50 or 0	
Criterion 9 - CWI		n/a	
Total:		380 or 330	n/a

Audit Percentage:		
Audit Result: (pass/fail)		There are three ways to fail the audit: 1) Fail any of the critical or key welfare indicators (CWIs or KWIs) at a not acceptable level, 2) Fail two of the key welfare indicators at an intermediate level, or 3) have a total score of less than 90%.

Notes: _____

Auditor Signature: _____

Date: _____